

*CJL*

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 30, 2007 Name: Scott W. Brim

Signature: *SWB*

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Overton et al.

Appln. No.: 09/661,222

Filed: September 13, 2000

For: NETWORK DISTRIBUTED TRACKING  
WIRE TRANSFER PROTOCOL

Examiner: Michael A. Delgado

Art Unit: 2144

Attorney Docket No: 11958/43

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Certificate  
FEB 06 2007  
of Correction

Sir:

Attached is/are:

- ☒ Transmittal letter (1 pg. in dupl.); and Request for Certificate of Correction (2 pgs. in dupl.); A copy of the first page of U.S. Pat. App. No. 09/661,222 (now U.S. Pat. No. 7,103,640 B1) originally filed and a copy of the Declaration for U.S. Pat. App. No. 09/661,222 executed by the inventors, which both show that U.S. Pat. App. No. 09/661,222 claims priority to U.S. Provisional App. No. 60/153,709, filed Sept. 14, 1999, and U.S. Pat. App. No. 09/111,896, filed Jul. 8, 1998.
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity		Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or Rate	Add'l Fee
Total		Minus			x \$25=		x \$50=	
Indep.		Minus			x 100=		x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=		+\$360=	
					Total	\$	Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

January 30, 2007

Date

*SWB*

Scott W. Brim (Reg. No. 51,500)

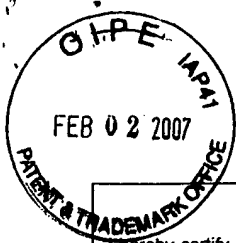
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NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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WIRE TRANSFER PROTOCOLExaminer: Michael A. DelgadoArt Unit: 2144Attorney Docket No: 11958/43

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[Signature]  
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FEB - 6 2007

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Date of Deposit

Scott W. Brim, Reg. No. 51,500

Name of applicant, assignee or  
Registered Representative

*Scott W. Brim*

Signature

January 30, 2007

Date of Signature



Our Case No. 11958/43

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Overton et al.

Serial No. 09/661,222

Filing Date: September 13, 2000

U.S. Pat. No. 7,103,640 B1

For NETWORK DISTRIBUTED  
TRACKING WIRE TRANSFER  
PROTOCOL

### REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: Certificate of Correction Branch

Sir:

Applicant requests the issuance of a Certificate of Correction for U.S. Pat. No.

7,103,640 B1, and in support of this request respectfully states:

FEB - 6 2007

On the face of U.S. Pat. No. 7,103,640 B1, Related U.S. Application Data  
should be changed from:

"Provisional application No. 60/153,709, filed on Sep. 14, 1999."; to

--U.S. Provisional App. No. 60/153,709, filed Sep. 14, 1999, and U.S. Pat.  
App. No. 09/111,896, filed Jul. 8, 1998.--

A copy of the first page of U.S. Pat. App. No. 09/661,222 (now U.S. Pat.  
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Sept. 14, 1999, and U.S. Pat. App. No. 09/111,896, filed Jul. 8, 1998, are  
enclosed.

The Commissioner is hereby authorized to charge any fees required to  
Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



---

Scott W. Brim  
Registration No. 51,500  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

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Scott W. Brim, Reg. No. 51,500

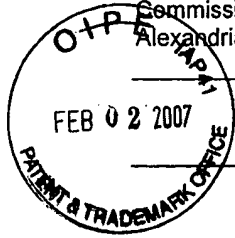
Name of applicant, assignee or  
Registered Representative

*Scott W. Brim*

Signature

January 30, 2007

Date of Signature



Our Case No. 11958/43

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Overton et al.

Serial No. 09/661,222

Filing Date: September 13, 2000

U.S. Pat. No. 7,103,640 B1

For NETWORK DISTRIBUTED  
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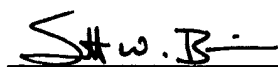
“Provisional application No. 60/153,709, filed on Sep. 14, 1999.”; to

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Respectfully submitted,



\_\_\_\_\_  
Scott W. Brim  
Registration No. 51,500  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

FEB 6 2007

Case No. 10406/43**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled NETWORK DISTRIBUTED TRACKING WIRE TRANSFER PROTOCOL, the specification of which:

- ☐ is attached hereto.  
☒ was filed on September 13, 2000 as Application Serial No. 09/661,222.  
☐ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
-----------------	------------------	-------------------------------	---------------------------------	--------------------------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>
<u>60/153,709</u>	<u>September 13, 1999</u>

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status-patented, pending, abandoned)</u>
<u>09/111,896</u>	<u>July 8, 1998</u>	<u>Pending</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

JOHN K. OVERTONCHICAGO, ILLINOISUNITED STATES OF AMERICA5825 South Blackstone, Chicago, Illinois 60637

Date:

22 Jan 2001**FEB - 6 2001**

Case No. 10406/43

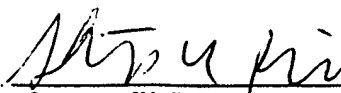
Inventor's Signature

Full name of second joint inventor, if any

Residence

Citizenship

Post Office Address



Date:

25-JAN-2001

STEPHEN W. BAILEY

CHICAGO, ILLINOIS

UNITED STATES OF AMERICA

5617 South Dorchester Avenue, 2N, Chicago, Illinois 60637

BRINKS HOFER GILSON & LIONE

P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

rev. Dec.-99

C:\Joel\OverX, Inc\10406-43 Declaration(2).doc

FEB - 6 2001





## NETWORK DISTRIBUTED TRACKING WIRE TRANSFER PROTOCOL

### RELATED APPLICATIONS

5 This application claims priority to provisional patent application serial no. 60/153,709, entitled SIMPLE DATA TRANSPORT PROTOCOL METHOD AND APPARATUS, filed on September 13, 1999, and to regular patent application no. 09/111,896, entitled SYSTEM AND METHOD FOR ESTABLISHING AND RETREIVING DATA BASED ON GLOBAL INDICES, filed on July 8, 1998.

### 10 MICROFICHE/COPYRIGHT REFERENCE

A Microfiche Appendix (143 frames, 2 sheets) is included in this application that contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the Microfiche Appendix, as it appears in the Patent and Trademark Office patent files or records, but otherwise reserves all copyright rights whatsoever.

### 15 FIELD OF THE INVENTION

This invention relates generally to the storage and retrieval of information, and in particular, to a protocol for dynamic and spontaneous global search and retrieval of information across a distributed network regardless of the data format.

### 20 BACKGROUND OF THE INVENTION

Data can reside in many different places. In existing retrieval systems and methods, a client seeking information sends a request to a server. Typically, only data that are statically associated with that server are returned. Disadvantageously, the search is also usually restricted to previously known systems. The search is thus conducted only where the server knows in advance to look.

25 Another disadvantage of known retrieval systems is the difficulty in accessing data in different forms. Known retrieval systems are typically

FEB 6 2007